

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>		Complete if Known	
		Application Number	n/a
		Filing Date	n/a
		First Named Inventor	Aweya et al.
		Art Unit	
Examiner Name			
Sheet	1	of	2
		Attorney Docket Number	43049-0007

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published		T ²
		AWEYA, J. et al., "A Control Theoretic Approach to Active Queue Management," <i>Computer Networks</i> , Vol. 36, Issue 2-3, July 2001, pp.203-235		
		BRADEN, D. et al., "Recommendation on Queue Management and Congestion Avoidance in the Internet," <i>IETF RFC 2309</i> , Apr. 1998		
		CHAIT, Y et al., "Fixed and Adaptive Model-Based Controllers for Active Queue Management," <i>Proc. American Control Conf.</i> , Arlington, VA, June 25-27 2001, pp.2981-2986		
		CNODDER, S.D. et al. "A Rate Based Red Mechanism," <i>Proc. NOSSDAV 2000</i> , Chapel Hill, North Carolina, June 26-28 2000, pp.602-609		
		FENG, W. et al., "A Self-Configuring RED," <i>Proc. IEEE INFOCOM'99</i> , 1999, pp.1320-1328		
		FENG, W. et al., "BLUE: A New Class of Active Queue Management Algorithms", <i>Technical Report CSE-TR-387-99</i> , Dept. of EECS, University of Michigan, April 1999		
		FLOYD, S. et al., "Random Early Detection Gateway for Congestion Avoidance," <i>IEEE/ACM Trans. Networking</i> , Vol. 1, No. 4, Aug. 1993, pp.397-413		
		HEINANEN, J. et al., "Assured Forwarding PHB Group," <i>IETF RFC 2597</i> , Feb. 1999		
		HOLLOT, C.V. et al., "A Control Theoretic Analysis of RED," <i>Proc. IEEE INFOCOM 2001</i> , 2001, pp.1510-1519		
		HOLLOT, C.V. et al., "On Designing Improved Controllers for AQM Routers Supporting TCP Flows," <i>Proc. IEEE INFOCOM 2001</i> , 2001, pp.1726-1734		
		JACOBSON, V. et al., "An Expedited Forwarding PHB," <i>IETF RFC 2598</i> , Jun. 1999		
		KHARITONOV, V.L. et al., "Robust Stability of Time-delay Systems," <i>IEEE Trans. Automatic Control</i> , Vol. 39, No. 12, Dec. 1994, pp.2388-2397		
		KUNNIYUR, S. et al., "End-to-end Congestion Control: Utility Functions, Random Losses and ECN Marks," <i>Proc. IEEE INFOCOM'2000</i> , Tel Aviv, Israel, March 2000, pp.1323-1332		
		MISRA, V. et al. "Fluid-based Analysis of a Network of AQM Routers Supporting TCP Flows with an Application to RED," <i>Proc. ACM SIGCOMM 2000</i> , 2000, pp.151-160		

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	n/a
				Filing Date	April 30, 2003
				First Named Inventor	Aweya et al.
				Art Unit	
				Examiner Name	
<i>(Use as many sheets as necessary)</i>					
Sheet	2	of	2	Attorney Docket Number	43049-0007

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.